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PTO/SB/05 (02-01)

Approved for use through 10/31/2002, OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. 3. <input checked="" type="checkbox"/> Specification [Total Pages 14] <small>(Preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] 5. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small> b. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.66	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Group Art Unit: _____

Prior application information: Examiner: _____
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label <input type="checkbox"/> Street Address <input type="checkbox"/> P.O. Box <input type="checkbox"/> Route <input type="checkbox"/> City <input type="checkbox"/> State <input type="checkbox"/> Zip Code <input type="checkbox"/> Country <input type="checkbox"/> Telephone <input type="checkbox"/> Fax		<input type="checkbox"/> Insert Customer No. or Address bar code here 30078 PATENT TRADEMARK OFFICE
or <input type="checkbox"/> Correspondence address below		

Name	State	Zip Code	Fax
Address	Telephone		
City	State	Zip Code	Fax
Country			

Name (Print/Type) Francis I. Gray Registration No. (Attorney/Agent) 27,788

Signature Francis I. Gray Date Feb. 5, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC997 U.S. PRO
10/07/2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	TOTAL AMOUNT OF PAYMENT (\$)	740.00
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METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account:				
Deposit Account Number 20-0352				
Deposit Account Name TEKTRONIX, INC.				

The Commissioner is authorized to: (check all that apply)

Charge fees indicated below Credit any overpayments
 Charge any additional fees(s) during the pendency of this application
 Charge fees(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code (\$)	Code (\$)	Code (\$)	
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$)			740.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent	Extra Claims	Fee from below	Fee Paid
Z	-20** =	0	X	
Z	-3*** =	0	=	
Multiple Dependent				=

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code (\$)	Code (\$)	Code (\$)
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

*For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)				
3. ADDITIONAL FEES				
Large Entity Small Entity				
Fee Fee Fee Fee Fee Description				
Code (\$)				
105 130	205 65	127 50	227 25	Surcharge - late filing fee or oath
139 130	139 130	139 130	2520 2520	Surcharge - late provisional filing fee or cover sheet
147 2,520	147 2,520	112 920*	112 920*	Non-English specification
112 920*	112 920*	For filing a request for ex parte reexamination		
Requesting publication of SIR prior to Examiner action				
Requesting publication of SIR after Examiner action				
Extension for reply within first month				
115 110	215 55	116 400	216 200	Extension for reply within second month
117 920	217 460	117 920	217 460	Extension for reply within third month
118 1,440	218 720	118 1,440	218 720	Extension for reply within fourth month
128 1,960	228 960	119 320	219 160	Extension for reply within fifth month
120 320	220 160	120 320	220 160	Notice of Appeal
121 280	221 140	121 280	221 140	Filing a brief in support of an appeal
138 1,510	138 1,510	138 1,510	138 1,510	Request for oral hearing
140 110	240 55	140 110	240 55	Petition to institute a public use proceeding
141 1,280	241 640	141 1,280	241 640	Petition to revive - unavoidable
142 1,280	242 640	142 1,280	242 640	Petition to revive - unintentional
143 460	243 230	143 460	243 230	Utility issue fee (or reissue)
144 620	244 310	144 620	244 310	Design issue fee
122 130	122 130	122 130	122 130	Petition to the Commissioner
123 50	123 50	123 50	123 50	Processing fee under 37 CFR 1.17(q)
126 180	126 180	126 180	126 180	Submission of Information Disclosure Stmt
581 40	581 40	581 40	581 40	Recording each patent assignment per property (times number of properties)
146 740	246 370	146 740	246 370	Filing a submission after final rejection (37 CFR 1.129(e))
149 740	249 370	149 740	249 370	For each additional invention to be examined (37 CFR 5.1.129(b))
179 740	279 370	179 740	279 370	Request for Continued Examination (RCE)
169 900	169 900	169 900	169 900	Request for expedited examination of e design application
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)

*For number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete if Known	
Name (First/Type)	Francis I. Gray	Registration No. (Attorney/Agent)	27,788
Signature	<i>Francis I. Gray</i>		
			Telephone 503 627-7261
			Date Feb. 5, 2002

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